

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

33082M110

U.S. APPLICATION NO. (if known,
see 37 CFR 1.5)

09/980309

INTERNATIONAL APPLICATION NO. PCT/JP00/03543	INTERNATIONAL FILING DATE 01 JUNE 2000	PRIORITY DATE CLAIMED 02 JUNE 1999
TITLE OF INVENTION	PLASMA PROCESSING UNIT, WINDOW MEMBER FOR PLASMA PROCESSING UNIT AND ELECTRODE PLATE FOR PLASMA PROCESSING UNIT	
APPLICANT(S) FOR DO/EO/US	Yoshihito OOKAWA and Daisuke HAYASHI	
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"><input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.<input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.<input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).<input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.<input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<ol style="list-style-type: none"><input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau) (see below 16d).<input checked="" type="checkbox"/> has been transmitted by the International Bureau (see accompanying PCT Form 308).<input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).<input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 34 (35 U.S.C. 371).<ol style="list-style-type: none"><input checked="" type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).<input type="checkbox"/> have been transmitted by the International Bureau.<input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<input type="checkbox"/> have not been made and will not be made.<input checked="" type="checkbox"/> A translation of the amendments to the claims under PCT Article 34 (35 U.S.C. 371).<input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11. to 16. below concern other document(s) or information included:</p> <ol style="list-style-type: none"><input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<input type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.<input type="checkbox"/> A substitute specification.<input type="checkbox"/> A change of power of attorney and/or address letter.<input checked="" type="checkbox"/> Other items or information:<ol style="list-style-type: none">Copy of PCT/IB/301;Copy of PCT/IB/304;Copy of PCT/IB/308;Copy of each of <u>three</u> Amendments made under Article 34 dated 10/30/2000, 3/30/2001 and 6/15/2001 (and their English translations);Copy of PCT/IPEA/401 (in Japanese);Copy of PCT/IPEA/416 and PCT/IPEA/409 (in Japanese); andCopy of WO 00/75973 in Japanese and a copy of International Search Report (in English and Japanese).		

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17. ☒ The following fees are submitted:

CALCULATIONS

PTO USE ONLY

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO \$890.00

International preliminary examination fee paid to USPTO
(37 CFR 1.482) \$710.00No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee
paid to USPTO (37 CFR 1.445(a)(2)) \$740.00Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 1,040.00International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest
claimed priority date (37 CFR 1.495(e)).

\$ ---

Claims	Number Filed	Number Extra	Rate		
Total Claims	12 - 20 =	0	x \$18.00	---	
Independent Claims	2 - 3 =	0	x \$84.00	---	
Multiple dependent claim(s) (if applicable)			+ \$280.00	---	

TOTAL OF ABOVE CALCULATIONS =

\$ 890.00

Reduction by ½ for filing by small entity, if applicable. Verified Small Entity statement must also be filed.
(Note 37 CFR 1.9, 1.27, 1.28).

SUBTOTAL =

\$ 890.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest
claimed priority date (37 CFR 1.492(f)).

+ ---

TOTAL NATIONAL FEE =

890.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an
appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.

+ 40.00

TOTAL FEES ENCLOSED =

930.00

Amount to be
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 930.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4300.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed
and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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PATENT TRADEMARK OFFICE

SIGNATURE

Michael A. Makuch

NAME

32,263

REGISTRATION NO.

Date: November 30, 2001